

## Classification and Appointment Authorization Requirements for Employees Exempt from the NC Human Resources Act

|              | Position<br>Type  | Authority Retained<br>by Board of Governors   | Authority Delegated<br>to the President  | Authority Delegated only to BOTs with Management Flexibility   | Authority Delegated to All BOTs (may delegate to Chancellor)   |
|--------------|---|---|--|--|--|
|              | Chancellors     CEO, UNC Health Care     UNC TV General Manager   | <ul><li>Establish / modify salary ranges</li><li>Appointment / Initial salary</li><li>Appointment changes</li></ul> |  |  |  |
| SAAO TIER I  | <ul> <li>Provosts</li> <li>Vice Chancellors</li> <li>Deans</li> <li>Other SAAO Tier I</li> </ul>  |   | For All Institutions  • Establish new positions  • Modify positions  • Establish / modify salary ranges  • Approve salary range exceptions  For Institutions without Flex  • Appointment / Initial salary  • Appointment changes | <ul> <li>Appointment / Initial salary</li> <li>Appointment changes</li> </ul>  |  |
| SAAO TIER II | Associate/Assistant Provosts     Associate/Assistant Vice     Chancellors     Vice Deans     Associate/Assistant Deans     Athletic Directors¹     Other SAAO Tier II |   | For All Institutions  • Establish new positions³  • Modify positions³  • Approve salary range methodology  For Institutions without Flex  • Establish / modify salary ranges   | Establish/modify salary ranges   | <ul> <li>Appointment / Initial salary</li> <li>Appointment changes</li> </ul>  |
| IRIT         | <ul> <li>Instructional</li> <li>Research</li> <li>Information Technology</li> <li>Head Coaches¹</li> <li>Other IRIT</li> </ul>  | Certain contracts for athletic<br>directors and head coaches¹   | For Institutions without Flex     Establish new positions     Modify positions in coordination with UNC System HR/OSHR     Establish / modify salary ranges  | For institutions with Delegated IRIT  Authority:  • Establish new positions  • Modify positions <sup>2</sup> • Establish / modify salary ranges <sup>2</sup> | Appointment / Initial salary     Appointment changes   |
| FACULTY      |   |   | For Institutions without Flex  • Establish new positions  • Establish / modify salary ranges  • Confer tenure  • Confer distinguished professorships   | <ul> <li>Establish / modify salary ranges<sup>2</sup></li> <li>Confer distinguished professorships<sup>2</sup></li> <li>Confer tenure</li> </ul>             | <ul> <li>Establish new positions</li> <li>Modify positions</li> <li>Appointment / Initial salary</li> <li>Appointment changes</li> </ul> |

<sup>1</sup> ADs/Head Coaches: The Board of Governors approves contracts for athletic directors and head coaches only if certain terms and conditions are included in the contract (Section 1100.3 of the UNC Policy Manual); otherwise, the Boards of Trustees retain authority on these contracts, and this authority cannot be delegated to the Chancellors.

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<sup>2</sup> BOT Authorities Which May be Delegated: The indicated actions may be delegated by formal resolution from the BOT to the Chancellor and the Chancellor's authorized designees.

<sup>3</sup> SAAO Tier II Positions: Per agreement with the Office of State Human Resources (OSHR), SAAO Tier II positions are established by UNC System HR.

<sup>4</sup> IRIT Authority: The ability to establish or modify IRIT positions and salary ranges is a special delegation given by the President. Campuses with this special delegation must report on IRIT positions on an annual basis. Campuses with management flexibility but without IRIT authority are treated the same, in this instance, as campuses without management flexibility.



## Salary Pre-Authorization Requirements for Employees Exempt from the NC Human Resources Act

|  | Salary Increase/Adjustment Type   | Board of Trustees' or Chancellor's Authority (or Chancellor's Designee 1)                                       | President's (or Designee's) Authority   | BOG Committee on<br>Personnel & Tenure |
|--|---|---|---|--|
| SNC  | Base Pay Increase Due to Promotion  Internally posted competitive event  Waiver of recruitment  |   | Not to exceed 25% and \$25,000     of cumulative salary adjustments fiscal year to-date   | All Other                              |
| LARY ACTIC                                       | Base Pay Increase Due to Promotion  Externally posted competitive event  Change in faculty rank   | <ul> <li>Not to exceed 20% and \$15,000</li> </ul>  | All Other   | • n/a                                  |
| PERMANENT BASE SALARY ACTIONS                    | Base Pay Increase Due to Retention     Employee actively under recruitment or in receipt of an offer     Requests under the Faculty Recruitment & Retention Fund  | of cumulative salary adjustments<br>fiscal year to-date   | <ul> <li>Not to exceed 30% and \$25,000         of cumulative salary adjustments fiscal year to-date</li> <li>All retention increases formally approved under the Faculty Recruitment &amp; Retention Fund</li> </ul> | All Other                              |
| PERI   | Other Base Pay Increases <sup>2</sup> Reclassification, equity, labor market, permanent additional duties, etc.   |   | Not to exceed 25% and \$25,000     of cumulative salary adjustments fiscal year to-date   | All Other                              |
| SUPPLEMENT/TEMPORARY SALARY ACTIONS <sup>3</sup> | Salary Supplement with no specific end date for a special appointment or title  Department chair appointment Faculty center director appointment Faculty administrative rank Named or distinguished professorship | Not to exceed 20% and \$15,000<br>of cumulative salary adjustments<br>fiscal year to-date                       | Not to exceed 25% and \$25,000     of cumulative salary adjustments fiscal year to-date   | All Other                              |
| SUPPLEME   | Salary Supplement with specific end date  Interim/acting appointment, temporary additional duties, etc.   | Not to exceed 25% and \$25,000 of cumulative salary adjustments fiscal year to-date up to 12 months in duration | Not to exceed 30% and \$25,000     of cumulative salary adjustments fiscal year to-date     and 13 months in duration   | All Other                              |

## Footnotes:

- 1 BOT may delegate all or part of this authority to the Chancellor; the Chancellor in turn may delegate authority to the executive vice chancellor, provost, chief financial officer/chief business officer, chief and deputy chief human resources officer, or other senior officer with responsibility for campus-wide faculty human resources actions.
- 2 Federally-mandated prevailing wage decisions are excluded from the BOG salary increase process; campuses are delegated full authority to respond to such situations.
- 3 For specific information on the calculation of supplements and interim/temporary pay, please consult the Salary Pre-Approval Process Notes, posted on UNC System HR's website.

## **Additional Notes:**

- a The "June 30 salary" must include any across-the-board legislative salary increase (LSI) that was effective for the following fiscal year.
- b Boards of Trustees may not further delegate pre-approval of salary actions for Tier I SAAO employees such as the Provost, Vice Chancellors, and Deans.
- c For campuses without management flexibility, all Tier I SAAO salary actions require the endorsement of the Board of Trustees and pre-approval by the President.